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Workman Nydegger 1000 Eagle Gate Tower 60 East South Temple Salt Lake City, UT 84111			EXAMINER TRUONG, LOAN	
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**Please find below and/or attached an Office communication concerning this application or proceeding.**

The time period for reply, if any, is set in the attached communication.

<b>Office Action Summary</b>	<b>Application No.</b> 10/804,481	<b>Applicant(s)</b> SMITH ET AL.	
	<b>Examiner</b> LOAN TRUONG	<b>Art Unit</b> 2114	

**-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --**

**Period for Reply**

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

**Status**

- 1) ☒ Responsive to communication(s) filed on 5/20/2009.
- 2a) ☐ This action is **FINAL**.                      2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

**Disposition of Claims**

- 4) ☒ Claim(s) 1-6,8,9,11-15,23,27-37,39-41,43-64 and 66-88 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1-6,8-9,11-15,23,27-37,39-41,43-64, 66-88 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

**Application Papers**

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_\_ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

**Priority under 35 U.S.C. § 119**

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All    b) ☐ Some \*    c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

**Attachment(s)**

- |  |   |
|--|---|
| 1) <input checked="" type="checkbox"/> Notice of References Cited (PTO-892)          | 4) <input type="checkbox"/> Interview Summary (PTO-413)           |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948) | Paper No(s)/Mail Date. _____                                      |
| 3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO/SB/08)          | 5) <input type="checkbox"/> Notice of Informal Patent Application |
| Paper No(s)/Mail Date _____  | 6) <input type="checkbox"/> Other: _____                          |

### **DETAILED ACTION**

1. This office action is in response to applicant's request for continuation filed on May 20, 2009 in application #10/804,481.
2. Claims 1-6, 8-9, 11-15, 23, 27-37, 39-41, 43-64 and 66-88 are presented for examination. Claims 1, 4-6, 8-9, 11-15, 23, 27-37, 39-41, 43-64 and 66-86 are amended. Claims 7, 10, 16-22, 24-26, 38, 42 and 65 are cancelled. Claims 87-88 are newly added.

### ***Response to Arguments***

3. Applicant's arguments filed May 20, 2009 have been fully considered but they are not persuasive.

Applicant stated that claims 1, 24 and 36 has not shown that the references teach or suggest the limitation of "a data input port configured to received the activity from the transmission medium, wherein the data input port includes signal conversion logic configured to convert a signal type used by the transmission medium to a signal type used by the analyzer and wherein the signal conversion logic is further configured to index at least a portion of the transmission medium activity." Furthermore, applicant also stated that claims 55 and 72 has not shown that the references teach or suggest the limitation of "a data input port configured to received a plurality of data channels from a transmission medium, wherein the data input port includes adaptor logic configured to group select ones of the plurality of data channels in dependence on a protocol of the receive data."

Examiner disagrees and therefore the rejections are maintained. Refer to rejection of Jibbe (US 6,687,856) in further view of Mitchell et al. (US 2003/0174694) below.

***Claim Rejections - 35 USC § 103***

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

The text of those sections of Title 35, U.S. Code not included in this action can be found in a prior Office action.

The factual inquiries set forth in *Graham v. John Deere Co.*, 383 U.S. 1, 148 USPQ 459 (1966), that are applied for establishing a background for determining obviousness under 35 U.S.C. 103(a) are summarized as follows:

1. Determining the scope and contents of the prior art.
  2. Ascertaining the differences between the prior art and the claims at issue.
  3. Resolving the level of ordinary skill in the pertinent art.
  4. Considering objective evidence present in the application indicating obviousness or nonobviousness.
4. Claims 1, 4-6, 8-9, 11, 23, 27-36 and 87 are rejected under 35 U.S.C. 103(a) as being unpatentable over Jibbe (US 6,687,856) in further view of Mitchell et al. (US 2003/0174694).

In regard to claim 1, Jibbe teaches an analyzer for capturing activity on a transmission medium, comprising:

a data input port configured to receive the activity from the transmission medium  
(*tapped connection is used to send a copy of data transferred on the point to point link to a host side monitor and analyzer, col. 5 lines 5-12*);

a control port for controlling modes of operation of the analyzer (*host side monitor and analyzer, col. 5 lines 13-32*) and for accepting user defined patterns of activity for triggering (*search through a captured data file for a specific event, col. 8 lines 1-4*); and

replay logic configured to receive the transmission medium activity from the data input port, receive stored activity from a trace buffer, and select one or the other of the transmission medium activity and the stored activity to output to trigger logic, but not both (*if state variable P/A is set to one the process next reads the template data structure generated and performs a performance analysis and if P/A is set to zero the process is next operative to play the template data structure file back on the reference system, fig. 3, 330, 325, col. 9 lines 31-46*); and

trace buffer control logic configured to cause activity received by said replay logic to be read from or written to said trace buffer (*record button is to capture data as observed by host-side monitor and the play button is used to caused on a sequence of data packets capture to be reproduced in the reference system, col. 8 lines 5-35*), wherein said trace buffer is configured to receive at least a portion of the transmission medium activity from said replay logic (*record button is selected to capture data as observed by host-side monitor into a file as specified by path/file button, col. 8 lines 7-9*), store the at least a portion of transmission medium activity (*set of template data structures is stored in a data file, col. 1-5*), send stored activity to said replay logic (*template data structure may be played out to emulate the behavior of a host computer, col. 9 lines 46-49*), and send stored activity to a data output port for processing or display (*generates an output which includes a set of template data structure, fig. 3, 315, col. 9 lines 2-4*), and wherein

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said trigger logic is configured to compare a pattern of activity received by the replay logic with a first user-defined pattern of activity and to indicate when the comparison results in a match (*search through a captured data file for a specific event or to specify a trigger event which when detected will cause the recorder to start or stop capturing data, col. 8 lines 1-4*).

Jibbee does not explicitly teach an analyzer comprising of the data input port includes signal conversion logic configured to convert a signal type used by the transmission medium to a signal type used by the analyzer and wherein the signal conversion logic is further configured to index at least a portion of the transmission medium activity.

Mitchell et al. teach the full duplex voice path capture buffer by implementing a waveform analysis station which downloaded audio/voice representation data and may converted it to the appropriate format of an associated signal processing tool (*paragraph 0017*) and stored in a time stamp format which allows the data to be assembled and read out as a time domain waveform for evaluation (*paragraph 0015*).

It would have been obvious to modify the analyzer of Jibbe by adding Mitchell et al. full duplex voice path capture buffer. A person of ordinary skill in the art at the time of applicant's invention would have been motivated to make the modification because it would facilitate analysis of the operation of the system and conduct high-speed data communications between relatively remote data processing systems and associated subsystems (*paragraph 0002 and 0003*).

In regard to claim 4, Jibbe teaches an analyzer as recited in claim 1 further comprising selective capture logic adapted to cause the trace buffer control logic to cause activity from the replay logic to be written to the trace buffer only when the activity from said replay logic matches a second user-defined pattern of activity (*user selects record button to initiate data capture, col. 8 lines 45-65*).

In regard to claim 5, Jibbe teaches an analyzer as recited in claim 4 wherein said selective capture logic is further adapted to cause information about the type of activity from the replay logic that caused the activity to be written to said trace buffer to be incorporated into the activity stored in the trace buffer (*template data structure include a fourth field to stores input and output negotiation information respectively in a subfield, fig. 5, 520-522, col. 14 lines 12-24*).

In regard to claim 6, Jibbe teaches an analyzer as recited in claim 4 further comprising a timestamp counter for creating information about a time of occurrence of each activity event from the replay logic, so that such information may be incorporated into activity stored in said trace buffer (*eight field of the template data structure stores the statistical information such as time-stamp associated with a data transfer, fig. 5, 540, col. 14 lines 31-33*), wherein said trigger logic is adapted to use time counters such that the pattern comparison is based on a relative time of occurrence of an activity event as indicated by the time counters, and wherein said replay logic uses said stored time-of-occurrence information to control a replay timing (*a time button is used to enter or read a*

*time representative of the beginning of a file or a specific event within a file in the system analyzer, fig. 2, 210, col. 7 lines 21-41).*

In regard to claim 8, Jibbe teaches an analyzer as recited in claim 6 wherein said replay logic uses the stored time-of-occurrence information to control a replay timing (*a time button is used to enter or read a time representative of the beginning of a file or a specific event within a file in the system analyzer, fig. 2, 210, col. 7 lines 21-41).*

In regard to claim 9, Jibbe teaches an analyzer as recited in claim 9 further comprising a replay output port for sending activity from the replay logic to the transmission medium (*a view button caused certain types of information relating to data transfer activity to be displayed, fig. 2, 225, col. 7 lines 45-53).*

In regard to claim 11, Jibbe teaches an analyzer as recited in claim 1 wherein said trigger logic is adapted to recognize, for comparison purposes, patterns of activity that consist of a single event and patterns of activity that consist of a sequence of events (*search through a captured data file for a specific event or to specify a trigger event which when detected will cause the recorder to start or stop capturing data, col. 8 lines 1-4).*

In regard to claim 23, Jibbe teaches an analyzer for analyzing activity on a transmission medium, comprising:



(a) a data input port configured to receive the activity from the transmission medium (*tapped connection is used to send a copy of data transferred on the point to point link to a host side monitor and analyzer, col. 5 lines 5-12*);

(b) a trace buffer configured to store said received activity (*set of template data structures is stored in a data file, col. 1-5*);

(c) replay logic configured to replay stored activity in said trace buffer (*if state variable P/A is set to zero the process is next operative to play the template data structure file back on the reference system, fig. 3, 330, 325, col. 9 lines 31-46*);

(d) a control port configured to permit a user to define a data pattern to be matched in said received activity (*monitor and analyzes data transfer generated in the references system may be practiced with affair amount of intervention from the technician using GUI window and the submenus, fig. 2, 200, col. 9 lines 53-64*); and

(e) trigger logic configured to trigger an action based on a match between said data pattern and said replayed activity (*specify a trigger event which when detected will cause the recorder to start or stop capturing data, col. 8 lines 1-4*), wherein said trigger logic is further configured to latch address information of said match to a storage area (*fifth field stores address information, fig. 5, 528, col. 14 lines 24-26*), wherein said storage area is a FIFO (*performance analysis involves scanning through captured data stored in queue, col. 9 lines 34-38*).

Jibbe does not explicitly teach the analyzer for capturing activity on a transmission medium wherein the data input port includes signal conversion logic configured to convert a signal type used by the transmission medium to a signal

type used by the analyzer and wherein the signal conversion logic is further configured to index at least a portion of the transmission medium activity

Mitchell et al. teach the full duplex voice path capture buffer by implementing a waveform analysis station which downloaded audio/voice representation data and may converted it to the appropriate format of an associated signal processing tool (*paragraph 0017*) and stored in a time stamp format which allows the data to be assembled and read out as a time domain waveform for evaluation (*paragraph 0015*).

Refer to claim 1 for motivational statement.

In regard to claim 27, Jibbe teaches an analyzer as recited in claim 23, wherein said replay logic is adapted to terminate a replay function on finding a match (*search through a captured data file for a specific event or to specify a trigger event which when detected will cause the recorder to start or stop capturing data, col. 8 lines 1-4*).

In regard to claim 28, Jibbe teaches an analyzer as recited in claim 23 further comprising means for performing additional analysis of said stored activity (*filters useless information from the captured data, fig. 3, 310, col. 8 lines 65-67*).

In regard to claim 29, Jibbe teaches an analyzer as recited in claim 28 wherein said means for performing additional analysis includes means for creating a histogram (*statistical information relating to performance is tabulated and presented to the user,*

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*col. 9 lines 39-41).*

In regard to claim 30, Jibbe teaches an analyzer as recited in claim 28 wherein said means for performing additional analysis uses the same circuitry as said replay and trigger logic (*host-side monitor, fig. 1, 125*).

In regard to claim 31, Jibbe teaches an analyzer as recited in claim 28 wherein said means for performing additional analysis includes means for real time protocol monitoring (*analyzing information related to time stamps, col. 9 lines 34-38*).

In regard to claim 32, Jibbe teaches an analyzer as recited in claim 28 wherein said means for performing additional analysis includes means for real time statistical analysis (*statistical data may be used to tune simulation or system parameters, col. 9 lines 38-43*).

In regard to claim 33, Jibbe teaches an analyzer as recited in claim 28 wherein said means for performing additional analysis includes means for traffic generation (*analyzing information related to data transfer rate, col. 9 lines 34-38*).

In regard to claim 34, Jibbe teaches an analyzer as recited in claim 23 wherein said replay logic function is carried out by a computer chip (*reproduced and analyzed in the reference system, col. 7 lines 7-9*) other than a microprocessor (*source computer, col.*

7 lines 7-9).

In regard to claim 35, Jibbe teaches an analyzer as recited in claim 23 wherein said replay logic is implemented in computer hardware (*host-side monitor or backside monitor, fig. 1, 125, 140*).

In regard to claim 36, Jibbe teaches an analyzer as recited in claim 23 wherein the analyzer is adapted to use shared hardware to perform real time monitoring, preparation of statistical information, post-capture analysis and to replay the stored activity (*some cases it may be desirable to select both record button and play button simultaneously to allow captured data file to be played and a new file to be captured and allows recreated event to be analyzed in non-real-time, col. 8 lines 36-44*).

In regard to claim 87, Jibbe does not explicitly teach an analyzer as recited in claim 1, wherein the signal conversion logic is configured to index at least a portion of the transmission medium activity by generating count bits for respective segments of data in the transmission medium activity and sending the transmission medium activity to the replay logic with the generated count bits.

Mitchell et al. teach the full duplex voice path capture buffer by implementing a waveform analysis station which downloaded audio/voice representation data and may converted it to the appropriate format of an associated signal processing tool (*paragraph 0017*) and stored in a time stamp

format which allows the data to be assembled and read out as a time domain waveform for evaluation (*paragraph 0015*).

Refer to claim 1 for motivational statement.

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5. Claims 2 and 3 are rejected under 35 U.S.C. 103(a) as being unpatentable over Jibbe (US 6,687,856) in further view of Mitchell et al. (US 2003/0174694) in further view of Nelson et al. (US 6,928,108).

In regard to claim 2, Jibbe and Mitchell et al. does not explicitly teach an analyzer as recited in claim 1, wherein said trace buffer control logic includes logic for overwriting previously stored activity with new activity.

Nelson et al. teach the modem with firmware upgrade feature implementing a protect switch when not enable would not prevent overwriting of the program area of the flash prom (*col. 13 lines 1-7*).

It would have been obvious to modify the analyzer of Jibbe and Mitchell et al. by adding Nelson et al. protect switch. A person of ordinary skill in the art at the time of applicant's invention would have been motivated to make the modification because it would provide a back door to allow access to the area of the flash PROM where the boot control program is stored (*col. 13 lines 1-7*).

In regard to claim 3, Jibbe and Mitchell et al. does not explicitly teach an analyzer as recited in claim 1 wherein said trace buffer control logic includes logic for avoiding overwriting previously stored activity with new activity.

Nelson et al. teach the modem with firmware upgrade feature implementing a protect switch when enable would not prevent erroneous overwriting of the boot control program area (*col. 13 lines 1-7*).

It would have been obvious to modify the analyzer of Jibbe and Mitchell et al. by adding Nelson et al. protect switch. A person of ordinary skill in the art at the time of applicant's invention would have been motivated to make the modification because it would prevent erroneous overwriting (*col. 13 lines 1-7*).

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6. Claim 12 are rejected under 35 U.S.C. 103(a) as being unpatentable over Jibbe (US 6,687,856) in further view of Mitchell et al. (US 2003/0174694) in further view of Bucher et al. (US 2001/0016925).

In regard to claim 12, Jibbe and Mitchell et al. does not explicitly teach an analyzer as recited in claim 1 wherein said trigger logic includes at least one counter for counting a number of occurrences of an activity event as part of the activity pattern comparison.

Bucher et al. teach a deep trace memory system for a protocol analyzer implementing a read and a write counter (*paragraph 0030, fig. 4*).

It would have been obvious to modify the replay analyzer of Jibbe and Mitchell et al. by adding Butcher et al. deep trace memory system for a protocol analyzer. A person of ordinary skill in the art at the time of applicant's invention would have been motivated to make the modification because it would allow dual port memory to act as a fifo (*paragraph 0030*).

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7. Claims 13-15 are rejected under 35 U.S.C. 103(a) as being unpatentable over Jibbe (US 6,687,856) in further view of Mitchell et al. (US 2003/0174694) in further view of Blatter et al. (US 6,236,694).

In regard to claim 13, Jibbe and Mitchell et al. does not explicitly teach an analyzer as recited in claim 1 wherein said trigger logic is adapted to use time counters such that the pattern comparison is based on a relative time of occurrence of an activity event as indicated by the time counters.

Blatter et al. teach the bus and interface system implementing a compares of the replayed timestamp value with a continuously changing count value produced by counting a free running oscillator (*col. 9 lines 13-24*).

It would have been obvious to modify the analyzer of Jibbe and Mitchell et al. by adding Blatter et al. bus and interface system. A person of ordinary skill in the art at the time of applicant's invention would have been motivated to make the modification because it would facilitate the elimination of data timing

perturbations and discontinuities resulting from record/replay processing and enable the recorded signal timing to be restored (*col. 1 lines 63-67*).

In regard to claim 14, Jibbe and Mitchell et al. does not explicitly teach an analyzer as recited in claim 13 wherein said replay logic uses a fixed time between events to output the stored activity with the same timing with which the stored activity was initially received at the data input port.

Blatter et al. teach the bus and interface system implementing a compares of the replayed timestamp value with a continuously changing count value produced by counting a free running oscillator (*col. 9 lines 13-24*) with suitable timing reference and relatively precise timing reference for use during reproduction (*col. 1 lines 59-63*).

Refer to claim 13 for motivational statement.

In regard to claim 15, Jibbe and Mitchell et al. does not explicitly teach an analyzer as recited in claim 14 further comprising a replay output port for sending the activity from the replay logic to the transmission medium.

Blatter et al. teach the bus and interface system implementing a compares of the replayed timestamp value with a continuously changing count value produced by counting a free running oscillator (*col. 9 lines 13-24*) with suitable timing reference and relatively precise timing reference for use during reproduction (*col. 1 lines 59-63*).

Refer to claim 13 for motivational statement.



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8. Claims 37, 39-41, 43, 44, 46 and 49-55 are rejected under 35 U.S.C. 103(a) as being unpatentable over Jibbe (US 6,687,856) in further view of Mitchell et al. (US 2003/0174694) in further view of Bucher et al. (US 2001/0016925) in further view of Blatter et al. (US 6,236,694).

In regard to claim 37, Jibbe teaches a replay analyzer comprising:

a data input port for receiving data from a transmission medium (*tapped connection is used to send a copy of data transferred on the point to point link to a host side monitor and analyzer, col. 5 lines 5-12*);

a traced buffer for storing data (*set of template data structures is stored in a data file, col. 1-5*);

term logic (*specify a trigger event which when detected will cause the recorder to start or stop capturing data, col. 8 lines 1-4*);

selective capture logic for determining which data to store in said trace buffer (*filter information from captured data, fig. 3, 310, col. 8 lines 66-67*);

replay logic for replaying data stored in said trace buffer (*if state variable P/A is set to zero the process is next operative to play the template data structure file back on the reference system, fig. 3, 330, 325, col. 9 lines 31-46*);

a trigger adapted to trigger on a match with replayed data (*specify a trigger event which when detected will cause the recorder to start or stop capturing data, col. 8 lines 1-4*); and

Jibbe does not explicitly teach the analyzer for receiving data from a transmission medium wherein the data input port includes means for converting a signal type used by the transmission medium to a signal type used by the analyzer and means for indexing at least a portion of the transmission medium data

Mitchell et al. teach the full duplex voice path capture buffer by implementing a waveform analysis station which downloaded audio/voice representation data and may converted it to the appropriate format of an associated signal processing tool (*paragraph 0017*) and stored in a time stamp format which allows the data to be assembled and read out as a time domain waveform for evaluation (*paragraph 0015*).

Refer to claim 1 for motivational statement.

Jibbe and Mitchell et al. does not explicitly teach the replay analyzer comprising at least one event statistic counter.

Bucher et al. teach a deep trace memory system for a protocol analyzer implementing a read and a write counter (*paragraph 0030, fig. 1, 20, 22*).

It would have been obvious to modify the replay analyzer of Jibbe and Mitchell et al. by adding Butcher et al. deep trace memory system for a protocol analyzer. A person of ordinary skill in the art at the time of applicant's invention would have been motivated to make the modification because it would allow dual port memory to act as a fifo (*paragraph 0030*).

Jibbe, Mitchell et al. and Bucher et al. does not explicitly teach a timestamp counter.

Blatter et al. teach the bus and interface system implementing a compares of the replayed timestamp value with a continuously changing count value produced by counting a free running oscillator (*col. 9 lines 13-24*).

It would have been obvious to modify the analyzer of Jibbe, Mitchell et al. and Butcher et al. by adding Blatter et al. bus and interface system. A person of ordinary skill in the art at the time of applicant's invention would have been motivated to make the modification because it would facilitate the elimination of data timing perturbations and discontinuities resulting from record/replay processing and enable the recorded signal timing to be restored (*col. 1 lines 63-67*).

In regard to claim 39, Jibbe, Mitchell et al. and Bucher et al. does not explicitly teach an analyzer as recited in claim 37 further comprising a timestamp upcounter.

Blatter et al. teach the bus and interface system implementing a compares of the replayed timestamp value with a continuously changing count value produced by counting a free running oscillator (*col. 9 lines 13-24*).

Refer to claim 37 for motivational statement.

In regard to claim 40, Jibbe teaches an analyzer as recited in claim 37 further comprising a control port for allowing user control of the analyzer (*GUI window, fig. 2, 200*).

In regard to claim 41, Jibbe teaches an analyzer as recited in claim 37 wherein said replay trigger is adapted to identify specific data values or events (*data is played back preferably at time 00:00:00 or a specific event within a file, col. 7 lines 31-35*).

In regard to claim 43, Jibbe teaches an analyzer as recited in claim 37 wherein said term logic performs pattern recognition for the analyzer (*specify a trigger event, col. 8 lines 1-4*).

In regard to claim 44, Jibbe and Mitchell et al. does not explicitly teach an analyzer as recited in claim 37 wherein said at least one event statistic counter is adapted to provide long term statistics regarding types of events that are occurring, each event type being defined by a term.

Bucher et al. teach a deep trace memory system for a protocol analyzer implementing a searching process with search direction set under logic step with 16 desired data words and 16 don't care words (*paragraph 0038*).

It would have been obvious to modify the replay analyzer of Jibbe and Mitchell et al. by adding Butcher et al. deep trace memory system for a protocol analyzer. A person of ordinary skill in the art at the time of applicant's invention would have been motivated to make the modification because it would help to locate specified data patterns within the trace buffer without the need to download data to the main memory of the host processor (*paragraph 0014*).

In regard to claim 46, Jibbe teaches an analyzer as recited in claim 37 wherein said selective capture logic uses terms from said term logic to capture only incoming activity that matches predefined or user patterns (*specify a trigger event which when detected will cause the recorder to start or stop capturing data, col. 8 lines 1-4*).

In regard to claim 49, Jibbe teaches an analyzer as recited in claim 37 wherein the analyzer has a capture mode and a replay mode that are user-selectable (*GUI window with record and play button, fig. 2, 220, 235*).

In regard to claim 50, Jibbe teaches an analyzer as recited in claim 37 wherein said replay logic permits selection of data flow source and direction (*when data is play back data is extracted from the path/file specified by this button in the GUI window, col. 7 lines 21-32*).

In regard to claim 51, Jibbe teaches an analyzer as recited in claim 50 wherein said data flow source and direction may be selected from (i) a flow starting at said data input port (*specific one of host, fig. 1, 105, col. 8 lines 15-35*), and to said term logic (*specify a trigger event, col. 8 lines 1-4*) and said trace buffer (*set of template data structures is stored in a data file, col. 1-5*), or (ii) from said trace buffer (*set of template data structures is stored in a data file, col. 1-5*) to said trigger (*specific event or trigger event, col. 8 lines 1-4*).

In regard to claim 52, Jibbe teaches an analyzer as recited in claim 37 further comprising a replay output port (*view button causes a display window to be display, col. 7 lines 45-49*)

In regard to claim 53, Jibbe teaches an analyzer as recited in claim 52 further comprising an output adapter means (*Host-side hub, fig. 1, 110*); wherein said replay output port (*host-side monitor, fig. 1, 125*) and said output adapter means are in data communication with each other so as to transmit data from the analyzer through said output port and through said output adapter means to a bus in order to facilitate traffic generation on the bus (*first traffic flow involves data transfers between host computer and devices such as disk array controller, col. 6 lines 9-16*).

In regard to claim 54, Jibbe teaches an analyzer as recited in claim 53 wherein activity stored in said trace buffer is used to generate traffic on a bus (*play button is used to direct a sequence of data packets captured from source computer system to be reproduced in the reference system, col. 8 lines 16-19*).

In regard to claim 55, Jibbe teaches an analyzer as recited in claim 37 further comprising a control port through which a local (*support technicians evaluate their own system, col. 7 lines 56-60*) or remote user can configure analyzer logic (*technical support facility, col. 7 lines 6-20*).

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9. Claim 45 is rejected under 35 U.S.C. 103(a) as being unpatentable over Jibbe (US 6,687,856) in further view of Mitchell et al. (US 2003/0174694) in further view of Bucher et al. (US 2001/0016925) in further view of Blatter et al. (US 6,236,694) in further view of Dwyer (US 6,820,251).

In regard to claim 45, Jibbe teaches an analyzer as recited in claim 44 wherein said terms are selected from the group consisting addresses and data transfers (*subfields holds the information extracted by data parser, fig. 5, col. 14 lines 12-34*).

Jibbe, Mitchell et al., Bucher et al. and Blatter et al. does not teach the term being a command packets and signal assertions.

Dwyer teaches the system for software recovery by implementing a check for an assertion and function call (*fig. 7, 144, 146, col. 7 lines 1-13*).

It would have been obvious to modify the analyzer of Jibbe, Mitchell et al., Bucher et al. and Blatter et al. by adding Dwyer system for software recovery. A person of ordinary skill in the art at the time of applicant's invention would have been motivated to make the modification because it would check for validity (*col. 6 lines 36-37*).

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10. Claims 47-48 are rejected under 35 U.S.C. 103(a) as being unpatentable over Jibbe (US 6,687,856) in further view of Mitchell et al. (US 2003/0174694) in further view of Bucher et al. (US 2001/0016925) in further view of Blatter et al. (US 6,236,694) in further view of Rivoir (US 6,105,087).

In regard to claim 47, Jibbe, Mitchell et al., Bucher et al. and Blatter et al. does not teach an analyzer as recited in claim 37 further comprising a trigger sequencer that is capable of triggering said trigger.

Rivori teaches an event recognition by a state machine implementing a sequencer state machine to initiates a corresponding bus transaction when it reaches a certain predefined state (*col. 2 lines 47-53*).

It would have been obvious to modify the apparatus of Jibbe, Mitchell et al., Butcher et al. and Blatter et al. by adding Rivoir event recognition. A person of ordinary skill in the art at the time of applicant's invention would have been motivated to make the modification because it would provide an improved tool for monitoring and/or processing events occurring in a data processing unit (*col. 2 lines 19-21*).

In regard to claim 48, Jibbe, Mitchell et al., Bucher et al. and Blatter et al. does not teach an analyzer as recited in claim 47 wherein said trigger sequencer is adapted to terminate writing to or reading from said trace buffer.

Rivori teaches an event recognition by a state machine implementing a sequencer state machine to initiates a corresponding bus transaction when it reaches a certain predefined state (*col. 2 lines 47-53*) wherein the event recognizer coupled via a line to a memory for controlling a read/write access of the memory (*col. 2 lines 9-12*).

Refer to claim 46 for motivational statement.



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11. Claims 56-64, 66-72 and 88 are rejected under 35 U.S.C. 103(a) as being unpatentable over Jibbe (US 6,687,856) in further view of Mitchell et al. (US 2003/0174694) in further view of Rivoir (US 6,105,087).

In regard to claim 56, Jibbe teaches an analyzer comprising:

a control port adapted to permit user configuration of the analyzer (*GUI window, fig. 2, 200*),

a data input port configured to receive a plurality of data channels from a transmission medium (*tapped connection is used to send a copy of data transferred on the point to point link to a host side monitor and analyzer, col. 5 lines 5-12*);

a trace buffer configured to store data from said data input port (*set of template data structures is stored in a data file, col. 1-5*);

a trace buffer control logic configured to determine which data from said data input port to store in said trace buffer (*filter useless information from the captured data, fig. 3, 310, col. 8 lines 66-67*);

replay logic configured to replay data stored in said trace buffer (*if state variable P/A is set to zero the process is next operative to play the template data structure file back on the reference system, fig. 3, 330, 325, col. 9 lines 31-46*);

term logic configured to match a desired term with replayed data (*specify a trigger event which when detected will cause the recorder to start or stop capturing data, col. 8 lines 1-4*); and

Jibbe does not explicitly teach an analyzer comprising the data input port includes adaptor logic configured to group select ones of the plurality of data channels in dependence on a protocol of the received data.

Mitchell et al. teach full duplex voice path capture buffer with echo cancellation and compression engines on ports that may be configured as serial to parallel and parallel to serial conversion and associated signal encoding format (*paragraph 0011*).

Refer to claim 1 for motivational statement.

Jibbe and Mitchell et al. does not explicitly teach an analyzer comprising a trigger sequencer configured to use state machine architecture to trigger on an event.

Rivori teaches an event recognition by a state machine implementing a sequencer state machine to initiates a corresponding bus transaction when it reaches a certain predefined state (*col. 2 lines 47-53*).

It would have been obvious to modify the apparatus of Jibbe and Mitchell et al. by adding Rivoir event recognition. A person of ordinary skill in the art at the time of applicant's invention would have been motivated to make the modification because it would provide an improved tool for monitoring and/or processing events occurring in a data processing unit (*col. 2 lines 19-21*).

In regard to claim 57, Jibbe teaches an analyzer as recited in claim 56 further comprising a selective capture feature (*filtering from the captured data, fig. 3, 310, col. 8*

*lines 66-67).*

In regard to claim 58, Jibbe teaches an analyzer as recited in claim 56 wherein said term logic is adapted to perform event pattern recognition (*specify a trigger event which when detected will cause the recorder to start or stop capturing data, col. 8 lines 1-4*).

In regard to claim 59, Jibbe teaches an analyzer as recited in claim 58 wherein said events are selected from the group consisting of high, low, rising edge, falling edge, either edge and dontcare (*probe is connected to a test point to collect a bit of information each clock interval, col. 1 lines 38-41*).

*It is inherent data capture or monitor for the event recognition at each clock interval, the selection of high, low, rising edge, falling edge, either edge and don't care is a design choice.*

In regard to claim 60, Jibbe teaches an analyzer as recited in claim 56 further comprises selective capture logic (*filtering from the captured data, fig. 3, 310, col. 8 lines 66-67*).

In regard to claim 61, Jibbe teaches an analyzer as recited in claim 56 wherein the analyzer has at least one data capture mode selected from the group consisting of state mode (*status, fig. 5, 535*), transitional timing mode (*statistic/time stamp, fig. 5, 540*), and

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fixed frequency mode (*data payload, fig. 5, 530, col. 14 lines 27-29*).

In regard to claim 62, Jibbe teaches an analyzer as recited in claim 56 further comprising trigger logic that asserts a trigger signal when data presented to it matches a predefined pattern or sequence (*specify a trigger event which when detected will cause the recorder to start or stop capturing data, col. 8 lines 1-4*).

In regard to claim 63, Jibber teaches an analyzer as recited in claim 56 further comprising stop logic (*stop button is used to cause the analyzer to stop manipulating data, fig. 2, 245, col. 7 lines 66-67*).

In regard to claim 64, Jibbe teaches an analyzer as recited in claim 56 further comprising trigger logic (*specify a trigger event which when detected will cause the recorder to start or stop capturing data, col. 8 lines 1-4*).

In regard to claim 66, Jibbe teaches an analyzer as recited in claim 56 further comprising an event statistics counter which generates statistical information based on replayed data (*Statistical information relating to performance is tabulated, col. 9, lines 39-43*).

In regard to claim 67, Jibbe teaches an analyzer as recited in claim 56 wherein said replay logic selects whether data presented to internal functions of the analyzer comes from said trace buffer or from said data input port (*host-side monitor capture data*

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*file provides input to system or reads the template data structure to performs a performance analysis, col. 9 lines 31-34 and col. 10 lines 36-39).*

In regard to claim 68, Jibbe teaches an analyzer as recited in claim 56 wherein said trace buffer control logic includes stop logic (*stop button is used to cause the analyzer to stop manipulating data, fig. 2, 245, col. 7 lines 66-67*), an address controller (*C/D, add, fig. 4, 432*), and a memory controller (*disk array controller, fig. 1, 115, 120*).

In regard to claim 69, Jibbe teaches an analyzer as recited in claim 56 wherein said trace buffer control logic latches an address value of replay data (*in command phase an address are used to set up a particular type of data transfer, col. 11 lines 45-55*).

In regard to claim 70, Jibbe teaches an analyzer as recited in claim 69 wherein said address value is latched to a FIFO (*performance analysis involves scanning through captured data stored in queue, col. 9 lines 34-38*).

In regard to claim 71, Jibbe teaches an analyzer as recited in claim 56 wherein the analyzer is adapted to replay traffic using the same timing that the traffic was captured with (*played back with a time button for set at 00:00:00 for the beginning of a file, fig. 2, 210, col. 7 lines 31-35*).

In regard to claim 72, Jibbe teaches an analyzer as recited in claim 56 wherein the analyzer is adapted to perform decoding (*decoder, fig. 4, 410*), flagging (*selection phase,*

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*fig. 4, 420), finding (performance analysis, fig. 4, 470), sorting (interpreter/organizer, fig. 4, 445), statistics (statistical information are tabulated, col. 9 lines 39-41) and/or filtering operations (filters useless information from the set of template data structure, col. 12 lines 14-16) using triggering and/or counting hardware that are also used for data capture purposes (captured data file, fig. 4, 405).*

In regard to claim 88, Jibbe does not explicitly teaches an analyzer as recited in claim 56, wherein the transmission medium is a gigabit bus and wherein the adaptor logic is configured to group select ones of the plurality of data channels such that a coding used by the gigabits bus is decoded by the adaptor logic.

Mitchell et al. teach full duplex voice path capture buffer with echo cancellation and compression engines on ports that may be configured as serial to parallel and parallel to serial conversion and associated signal encoding format (*paragraph 0011*).

Refer to claim 1 for motivational statement.

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12. Claims 73 and 76-81 are rejected under 35 U.S.C. 103(a) as being unpatentable over Jibbe (US 6,687,856) in further view of Mitchell et al. (US 2003/0174694) in further view of Blatter et al. (US 6,236,694).

In regard to claim 73, Jibbe teaches an analyzer for capturing activity on a transmission medium, comprising:

a data input port configured to receive the activity in a plurality of channels from the transmission medium (*tapped connection is used to send a copy of data transferred on the point to point link to a host side monitor and analyzer, col. 5 lines 5-12*);

a control port for controlling modes of operation of the analyzer (*host side monitor and analyzer, col. 5 lines 13-32*) and for accepting user-defined patterns of activity for triggering (*search through a captured data file for a specific event, col. 8 lines 1-4*); and

replay logic configured to receive the transmission medium activity from the data input port, receive stored activity from a trace buffer, and select one or the other of the transmission medium activity and the stored activity to output to trigger logic, but not both (*if state variable P/A is set to one the process next reads the template data structure generated and performs a performance analysis and if P/A is set to zero the process is next operative to play the template data structure file back on the reference system, fig. 3, 330, 325, col. 9 lines 31-46*); and

trace buffer control logic configured to cause activity received by said replay logic to be read from or written to said trace buffer (*record button is to capture data as observed by host-side monitor and the play button is used to caused on a sequence of data packets capture to be reproduced in the reference system, col. 8 lines 5-35*),

wherein said trace buffer is configured to receive at least a portion of the transmission medium activity from said replay logic (*record button is selected to capture data as observed by host-side monitor into a file as specified by path/file button, col. 8 lines 7-9*), store the at least a portion of transmission medium activity (*set of template data structures is stored in a data file, col. 1-5*), stored activity to said replay logic

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*(template data structure may be played out to emulate the behavior of a host computer, col. 9 lines 46-49), and send stored activity to a data output port for processing or display (generates an output which includes a set of template data structure, fig. 3, 315, col. 9 lines 2-4), and*

wherein said trigger logic is configured to compare a pattern of activity received from the replay logic with a first user-defined pattern of activity and to indicate wherein the comparison results in a match *(search through a captured data file for a specific event or to specify a trigger event which when detected will cause the recorder to start or stop capturing data, col. 8 lines 1-4).*

Jibbe does not explicitly teach the analyzer wherein the data input port includes adaptor logic configured to group select ones of the plurality of channels in dependence on a protocol of the received activity.

Mitchell et al. teach full duplex voice path capture buffer with echo cancellation and compression engines on ports that may be configured as serial to parallel and parallel to serial conversion and associated signal encoding format *(paragraph 0011).*

Refer to claim 1 for motivational statement.

Jibbe and Mitchell et al. does not explicitly teach wherein said trigger logic is adapted to use time counters such that the pattern comparison is based on a relative time of occurrence of an activity event as indicated by the time counters.



Blatter et al. teach the bus and interface system implementing a compares of the replayed timestamp value with a continuously changing count value produced by counting a free running oscillator (*col. 9 lines 13-24*).

It would have been obvious to modify the analyzer of Jibbe and Mitchell et al. by adding Blatter et al. bus and interface system. A person of ordinary skill in the art at the time of applicant's invention would have been motivated to make the modification because it would facilitate the elimination of data timing perturbations and discontinuities resulting from record/replay processing and enable the recorded signal timing to be restored (*col. 1 lines 63-67*).

In regard to claim 76, Jibbe teach an analyzer as recited in claim 73 further comprising selective capture logic adapted to cause the trace buffer control logic to cause activity from the replay logic to be written to the trace buffer (*record button is to capture data as observed by host-side monitor and the play button is used to caused on a sequence of data packets capture to be reproduced in the reference system, col. 8 lines 5-35*) only when the activity from said replay logic matches a second user-defined pattern of activity (*search through a captured data file for a specific event or to specify a trigger event which when detected will cause the recorder to start or stop capturing data, col. 8 lines 1-4*).

In regard to claim 77, Jibbe teach an analyzer as recited in claim 76 wherein said selective capture logic is further adapted to cause information about the type of activity from the replay logic that caused the activity to be written to said trace buffer to be

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incorporated into the activity stored in the trace buffer (*template data structure include a fourth field to stores input and output negotiation information respectively in a subfield, fig. 5, 520-522, col. 14 lines 12-24*).

In regard to claim 78, Jibbe teach an analyzer as recited in claim 76 further comprising a timestamp counter for creating information about a time of occurrence of each activity event from the replay logic, so that such information may be incorporated into activity stored in said trace buffer (*eight field of the template data structure stores the statistical information such as time-stamp associated with a data transfer, fig. 5, 540, col. 14 lines 31-33*).

In regard to claim 79, Jibbe teach an analyzer as recited in claim 78 wherein said trigger logic includes time counters for incorporating a relative time of occurrence of an activity event as part of the pattern comparison, and wherein said replay logic uses said stored time-of-occurrence information to control a replay timing (*a time button is used to enter or read a time representative of the beginning of a file or a specific event within a file in the system analyzer, fig. 2, 210, col. 7 lines 21-41*).

In regard to claim 80, Jibbe teach an analyzer as recited in claim 78 wherein said replay logic uses the stored time-of-occurrence information to control a replay timing (*a time button is used to enter or read a time representative of the beginning of a file or a specific event within a file in the system analyzer, fig. 2, 210, col. 7 lines 21-41*).

In regard to claim 81, Jibbe teach an analyzer as recited in claim 80 further comprising a replay output port for sending activity from the replay logic to the transmission medium (*a view button caused certain types of information relating to data transfer activity to be displayed, fig. 2, 225, col. 7 lines 45-53*).

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13. Claims 74-75 are rejected under 35 U.S.C. 103(a) as being unpatentable over Jibbe (US 6,687,856) in further view of Mitchell et al. (US 2003/0174694) in further view of Blatter et al. (US 6,236,694) in further view of Nelson et al. (US 6,928,108).

In regard to claim 74, Jibbe, Mitchell et al. and Blatter et al. does not teach an analyzer as recited in claim 73 wherein said trace buffer control logic includes logic for overwriting previously stored activity with new activity.

Nelson et al. teach the modem with firmware upgrade feature implementing a protect switch when not enable would not prevent overwriting of the program area of the flash prom (*col. 13 lines 1-7*).

It would have been obvious to modify the analyzer of Jibbe, Mitchell et al. and Blatter et al. by adding Nelson et al. protect switch. A person of ordinary skill in the art at the time of applicant's invention would have been motivated to make the modification because it would provide a back door to allow access to the area of the flash PROM where the boot control program is stored (*col. 13 lines 1-7*).

In regard to claim 75, Jibbe, Mitchell et al. and Blatter et al. does not teach an analyzer as recited in claim 73 wherein said trace buffer control logic includes logic for avoiding overwriting previously stored activity with new activity.

Nelson et al. teach the modem with firmware upgrade feature implementing a protect switch when not enable would not prevent overwriting of the program area of the flash prom (*col. 13 lines 1-7*).

Refer to claim 74 for motivational statement.

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14. Claims 82, 85-86 are rejected under 35 U.S.C. 103(a) as being unpatentable over Jibbe (US 6,687,856) in further view of Mitchell et al. (US 2003/0174694) in further view of Blatter et al. (US 6,236,694) in further view of Noy (US 7,114,111).

In regard to claim 82, Jibbe, Mitchell et al. and Blatter et al. does not teach an analyzer as recited in claim 80 wherein activity events are stored at a fixed frequency, thereby providing a fixed time between events.

Noy teaches the method of maximizing test coverage by implementing the collection of data related to temporal coverage such as triggering event is optionally a fixed, predefined sampling time (*col. 8 lines 38-52*).

It would have been obvious to modify the method Jibbe and Blatter by adding Noy method of maximizing test coverage. A person of ordinary skill in the art at the time of applicant's invention would have been motivated to make the modification because it would allow temporal coverage (*col. 8 lines 40-46*).

In regard to claim 85, Jibbe, Mitchell et al. and Blatter et al. does not teach an analyzer as recited in claim 73 wherein said replay logic uses a fixed time between events to output the stored activity with the same timing with which the stored activity was initially received at the data input port.

Noy teaches the method of maximizing test coverage by implementing the collection of data related to temporal coverage such as triggering event is optionally a fixed, predefined sampling time (*col. 8 lines 38-52*).

Refer to claim 81 for motivational statement.

In regard to claim 86, Jibbe teach an analyzer as recited in claim 85 further comprising a replay output port for sending the activity from the replay logic to the transmission medium (*play the template data structure file back on the reference system, fig. 3, 330, 325, col. 9 lines 31-46*).

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15. Claim 83 is rejected under 35 U.S.C. 103(a) as being unpatentable over Jibbe (US 6,687,856) in further view of Mitchell et al. (US 2003/0174694) in further view of Blatter et al. (US 6,236,694) in further view of Lee et al. (US 6,377,643).

In regard to claim 83, Jibbe teach an analyzer as recited in claim 73 wherein said trigger logic is adapted to recognize for comparison purposes, patterns of activity that consist of a single event (*search through a captured data file for a specific event or to*

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*specify a trigger event which when detected will cause the recorder to start or stop capturing data, col. 8 lines 1-4)*

Jibbe, Mitchell et al. and Blatter et al. does not teach an analyzer comparing patterns of activity that consist of a sequence of events.

Lee et al. teach the method of comparing a pattern matching sync signal output from a sync pattern detector according to clocks of the parallel clock generator (*col. 3 lines 6-10*).

It would have been obvious to modify the method Jibbe, Mitchell et al. and Blatter et al. by adding Lee et al. pattern matching. A person of ordinary skill in the art at the time of applicant's invention would have been motivated to make the modification because it would solve the problem of deviation of a window caused by erroneous detection of a sync signal and disagreement of the numbers of clocks by using a specified window (*col. 1 lines 56-60*).

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16. Claim 84 is rejected under 35 U.S.C 103(a) as being unpatentable over Jibbe (US 6,687,856) in further view of Mitchell et al. (US 2003/0174694) in further view of Blatter et al. (US 6,236,694) in further view of Bucher et al. (US 2001/0016925).

In regard to claim 84, Jibbe, Mitchell et al. and Blatter et al. does not teach an analyzer as recited in claim 73 wherein said trigger logic includes at least one counter for counting a number of occurrences of activity event as part of the pattern comparison.

Bucher et al. teach a deep trace memory system for a protocol analyzer implementing a read and a write counter (*paragraph 0030, fig. 4*).

It would have been obvious to modify the replay analyzer of Jibbe, Mitchell et al. and Blatter et al. by adding Butcher et al. deep trace memory system for a protocol analyzer. A person of ordinary skill in the art at the time of applicant's invention would have been motivated to make the modification because it would allow dual port memory to act as a fifo (*paragraph 0030*).

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### **Conclusion**

17. The prior art made of record and not relied upon is considered pertinent to applicant's disclosure. See PTO 892.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Loan Truong whose telephone number is (571) 272-2572. The examiner can normally be reached on M-F from 10am-6pm.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Scott Baderman can be reached on (571) 272-3644. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

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